

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant : Jetze J. Tepe

For : NF- $\kappa$ B INHIBITORS AND USES THEREOF

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Sir:

**INFORMATION STATEMENT UNDER  
RULE 1.97 and 1.98**

Provided herewith are copies of patents and publications as listed in the attached form PTO-1449. A concise explanation of the relevance of each reference listed in form PTO-1449 is contained in the above-captioned specification as filed.

Applicant respectfully asserts that the substantive provisions of 37 CFR 1.97 and 37 CFR 1.98 are met by the provision of the foregoing statement.

Respectfully,

\_\_\_\_\_  
Jetze J. Tepe

Date: \_\_\_\_\_

\_\_\_\_\_  
*Ian C. McLeod*  
Ian C. McLeod, Attorney  
Registration No. 20,931

Date: 12/3/03

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		Filing Date	
		First Named Inventor	Jetze J. Tepe
		Group Art Unit	
		Examiner Name	
Sheet		of	
		Attorney Docket Number	MSU 4.1-683

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	A2	Baldwin, Ann. Rev. Immunol. 14, 649-683 (1996)	
	A3	White, J. Biol. Chem. 272: 14914-14920 (1997)	
	A4	Baldwin, J. Clin. Invest. 107: 241-246 (2001)	
	A5	Hideshima et al., J. Biol. Chem. 277: 16639-16647 (2002)	
	A6	Bottero et al., Cancer Res. 61: 7785-7791 (2001)	
	A7	Um et al., Oncogene 20: 6048-6056 (2001)	
	A8	Weldon et al., Surgery 130: 143-150 (2001)	
	A9	Arlt et al., Oncogene 20: 859-868 (2001)	
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	A13	Zhong et al., Cell 89: 413-424 (1997)	
	A14	Sizemore et al., Mol. Cell Biol 19: 4798-4805 (1999)	
	A15	Madrid et al., Mol. Cell Biol 20: 1626-1638 (2000)	
	A16	Schwabe and Brenner, Am. J. Physiol. Gastrointest. Liver Physiol. 283: G204-211 (2002)	
	A17	Ali et al., Chem. Rev. 101: 2527-2540 (2001)	
	A18	Epinat and Gilmore, Oncogene 18: 6896-6909 (1999)	
	A19	Das and White, J. Biol. Chem. 272: 14914-14920 (1997)	
	A20	Crinelli et al., Blood Cells Mol. Dis. 26: 211-222 (2000)	
	A21	Mayo and Baldwin, Biochim. Biophys. Acta 1470: M55-62 (2000)	
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	A24	Chen et al., Am. J. Pathol. 159: 387-397 (2001)	
	A25	Cusack et al., Drug Resist. Updat. 2: 271-273 (1999)	
	A26	Darnell, Jr., Nat. Rev. Cancer 2: 740-749 (2002)	
	A27	Guttridge et al., Mol. Cell. Biol. 19: 5785-5799 (1999)	
	A28	Jones et al., Ann. Thorac. Surg. 70: 930-936 (2000)	
	A29	Orlowski et al., J. Clin. Oncol. 20: 4420-4427 (2002)	
	A30	Royds et al., Mol. Pathol. 51: 55-61 (1998)	
	A31	Shah et al., J. Cell. Biochem. 82: 110-122 (2001)	
	A32	Wang et al., Science, 274: 784-787 (1996)	
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	A35	Hart et al., Am. J. Respir. Crit. Care Med. 158: 1585-1592 (1998)	
	A36	Lee and Burckart, J. Clin. Pharmacol. 38: 981-993 (1998)	
	A37	Makarov, Arthritis Res. 3: 200-206 (2001)	
	A38	Manna et al., J. Immunol. 163: 6800-6809 (1999)	
	A39	Miagkov et al., Proc. Natl. Acad. Sci. U S A, 95, 13859-13864 (1998)	
	A40	Miossec, Cell Mol. Biol. (Noisy-le-grand), 47: 675-678 (2001))	
	A41	Roshak et al., Curr. Opin. Pharmacol. 2: 316-321 (2002)	
	A42	Tak and Firestein, J. Clin. Invest. 107: 7-11 (2001)	
	A43	Taylor, Mol. Biotechnol. 19: 153-168 (2001)	
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	A52	Takada, N., et al. J Virol, 76, 8019-8030 (2002)	
	A53	Chen-Park, F. E., et al J Biol Chem, 277, 24701-24708 (2002)	
	A54	Ballard, D. W. Immunol Res, 23, 157-166 (2001)	
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	A64	Breton, J. J., et al., J Pharmacol Exp Ther 282 459-466 (1997)	
	A65	Roshak, A., et al., J Pharmacol Exp Ther 283 955-961 (1997)	
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	A68	Hegner, S. P., et al., J Immunol 163 5617-5623 (1999)	
	A69	Natarajan, K., et al., Proc Natl Acad Sci USA 93 9090-9095 (1996)	
	A70	Fung-Leung, W. P., et al., Transplantation 60 362-368 (1995)	
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	A72	M.P. Boland, Biochemical Society Transactions (2001) volume 29, part 6, p 674-678	
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	A80	Consonni, R.C., et al., J. chem. Research (S) 188-189 (1991)	
	A81	Bilodeau, M.T.C., J. Org. Chem. 63 2800-2801 (1998)	
	A82	Puntener, K., et al., J. Org Chem 65 8301-8306 (2000)	
	A83	Hsiao, Y. H., J. Org. Chem. 62 3586-3591 (1997)	
	A84	Dghaym, R.D. D., et al., Angew. Chem. Int. Ed. Engl. 40 3228-3230 (2001)	
	A85	Viso, A., et al., J. Org. Chem. 62 2316-2317 (1997)	
	A86	M. Karin, Nature immunology, 3, 221-227 (2002)	
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	A91	Peddibhotla et al., Highly Diastereoselective Ivanova, G.G., Tetrahedron 48 177-186 (1992)	
	A92	Journal of Clinical Investigation 100: 972-985 (1997)	
	A93	Proc. Natl. Acad. Sci. USA 76: 5939-5943 (1979)	
	A94	J. Exp. Med. 165, 657-663 (1987)	
	A95	Chang, Eur. J. Pharmacol. 142: 197-205 (1987)	
	A96	Breton et al., J. Pharmacol. Exp. Ther. 282 459-466 (1997)	
	A97	Peddibhotla et al., Org. Lett 4: 3533-3535 (2002)	
	A98	Hart et al., Am. J. Respir. Crit. Care Med. 158: 1885-1592 (1998)	
	A99	Makarov, Arthritis Res. 3: 200-206 (2001)	

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		First Named Inventor	Jetze J. Tepe		
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	B1	Denny, ACS Press: Washington, D.C., 483-500 (1995)	
	B2	Wall, Med. Res. Rev. 18: 299-314 (1998)	
	B3	Pommier et al., Biochim. Biophys. Acta 1400: 83-105 (1998)	
	B4	Macdonald et al., Comprehensive natural products chemistry; pp 593-614 (1999)	
	B5	Huanget al., J Biol Chem 275: 9501-9509 (2000)	
	B6	Piret and Piette, Nucl. Acids Res. 24: 4242-4248 (1996)	
	B7	Bottero et al., Cancer Res 61: 7785-7791 (2001)	
	B8	Delhase et al., Science 284: 309-313 (1999)	
	B9	Karin, Oncogene 18: 6867-6874 (1999)	
	B10	Thornberry et al., Nature 356: 768-774 (1992)	
	B11	Nicholson et al., Trends Biochem. Sci. 22: 299-306 (1997)	

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	B12	Chiao et al., Cancer 95: 1696-1705 (2002)	
	B13	Dignam, et al., Nucl. Acids Res 11: 1475-1489 (1983)	

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